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Docket No.: 15437-0106

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: 8758

Sharon S. Liu, et al.

Group Art Unit No.: 2135

Serial No.: 09/483,726

Examiner: KLIMACH, PAULA W.

Filed: January 14, 2000

For: METHOD AND APPARATUS FOR VERIFYING THE LEGITIMACY OF AN  
UNTRUSTED MECHANISM

REQUEST FOR CERTIFICATE OF CORRECTION

Attention Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate**  
DEC 14 2006  
**of Correction**

Sir:

Enclosed herein is a proposed Certificate of Correction which Applicants respectfully request be entered with respect to the above patent. Copies of the initialed 1449s by the Examiner makes it clear that the omission of the references printed on the patent is the fault of the PTO. Hence, no fee is required.

It is respectfully requested that the Certificate of Correction be issued.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Christian A. Nicholes  
Registration No. 50,266

Dated: December 5, 2006

2055 Gateway Place, Suite 550  
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Fax: (408) 414-1076

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

on December 7, 2006

by

Darci Sakamoto

DEC 18 2006

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 2

PATENT NO. : 7,103,910 B1  
 APPLICATION NO. : 09/483,726  
 ISSUE DATE : September 5, 2006  
 INVENTOR(S) : Sharon Liu et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

### (56) REFERENCES CITED U.S. PATENT DOCUMENTS

Please insert --

|           |          |                |           |
|-----------|----------|----------------|-----------|
| 5,323,464 | 6/21/94  | Elander et al. | 380/24    |
| 5,412,727 | 5/2/95   | Fischer        | 380/4     |
| 5,745,572 | 4/28/98  | Press          | 380/21    |
| 5,883,956 | 3/16/99  | Le et al.      | 380/4     |
| 5,907,620 | 5/25/99  | Klemba et al.  | 380/25    |
| 5,933,503 | 8/3/99   | Schell et al.  | 380/25    |
| 6,125,446 | 9/26/00  | Olarig et al.  | 713/200   |
| 6,178,504 | 1/23/01  | Fieres et al.  | 713/164   |
| 6,308,266 | 10/23/01 | Freeman        | 713/156   |
| 6,389,534 | 3/14/02  | Elgamal et al. | 713/164-- |

### FOREIGN PATENT DOCUMENTS

Please insert --

|                 |   |             |
|-----------------|---|-------------|
| EP 0 539 726 A2 | International Business Machines Corporation | 5-5-1993    |
| EP 0 828 208 A2 | Hewlett-Packard Company                     | 3-11-1998-- |

### OTHER PUBLICATIONS

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Hickman Palermo Truong & Becker LLP  
 2055 Gateway Place, Suite 550  
 San Jose, CA 95110

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 2 of 2

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### (56) REFERENCES CITED

### OTHER PUBLICATIONS

Please insert --

Java Cryptography Extension 1.2.1, Java Cryptography Extension 1.2.1 API Specification & Reference, downloaded 5/10/02, [http://java.sun.com/products/jce/doc/guide/API\\_users\\_guide.html](http://java.sun.com/products/jce/doc/guide/API_users_guide.html), pages 1-51.

Java (TM) Cryptography Extension (JCE), Java Cryptography Extension (JCE), <http://java.sun.com/products/jce/index.html>, downloaded 5/10/02, 5 pages.

Susan Landau, et al., "CRYPTO POLICY PERSPECTIVES," Communications of the ACM, August 1994, Vol. 37, No. 8, XP-002253003, pages 115-121.

Fausto Rabitti et al., "A Model of Authorization for Next-Generation Database Systems", ACM Transactions on Database Systems, Vol. 16, No. 1, March 1991, XP-002254871, pages 88-131.--

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|---|---|---------------------------------|
| <b>INFORMATION DISCLOSURE CITATION</b><br><b>IN AN APPLICATION</b><br><b>(PTO-1449)</b> | <b>ATTY. DOCKET NO.</b><br>15437-0106   | <b>SERIAL NO.</b><br>09/483,726 |
|   | <b>APPLICANT:</b><br>Sharon Liu et al.  |                                 |
|   | <b>FILING DATE:</b><br>January 14, 2000 | <b>GROUP:</b><br>2787           |

| U.S. PATENT DOCUMENTS |                       |                      |                                      |   |   |   |
|-----------------------|-----------------------|----------------------|--------------------------------------|---|---|---|
| Exam. initial*        | Cite No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                       |                       | Number               | Kind Code <sup>2</sup><br>(If known) |   |   |   |
|                       |                       |                      |                                      |   |   |   |
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| FOREIGN PATENT DOCUMENTS |                       |                         |                     |                                   |   |  |   |                |
|--------------------------|-----------------------|-------------------------|---------------------|-----------------------------------|---|--|---|----------------|
| Exam. initial*           | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (If known) |   |  |   |                |
| WK                       |                       | EP                      | 0 539 726           | A2                                | International Business Machines Corporation     | 05-05-1993                                       |   |                |
| WK                       |                       | EP                      | 0 828 208           | A2                                | Hewlett-Packard Company                         | 03-11-1998                                       |   |                |

| OTHER ART - NO PATENT LITERATURE DOCUMENTS |                       |  |                          |
|--|-----------------------|--|--------------------------|
| Examiner Initials*                         | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation <sup>2</sup> |
| WK   |                       | Susan Landau, et al., "CRYPTO POLICY PERSPECTIVES," Communications of the ACM, August 1994, Vol. 37, No. 8, XP-002253003, pp. 115-121.   |                          |
| WK   |                       | Fausto Rabitti, et al., "A Model of Authorization for Next-Generation Database Systems," ACM Transactions on Database Systems, Vol. 16, No. 1, March 1991, XP-002254871, pp. 88-131.   |                          |

|                    |                      |                 |             |
|--------------------|----------------------|-----------------|-------------|
| Examiner Signature | <i>Paula Klimach</i> | Date Considered | 12 Dec 2003 |
|--------------------|----------------------|-----------------|-------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance not considered. Include copy of this form with next communication to applicant.

Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (IPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

 copy  
 ATTY. DOCKET NO.  
15437-0106

 SERIAL NO.  
09/483,726

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 APPLICANT  
Sharon S. Liu, et al.

JUL 03 2002

 FILING DATE  
January 14, 2000

 Technology Center 2100  
2787

## U.S. PATENT DOCUMENTS

| EXAMINER'S INITIALS | PATENT NO. | DATE     | NAME           | CLASS | SUBCLASS | FILING DATE |
|---------------------|------------|----------|----------------|-------|----------|-------------|
| <i>pwk</i>          | 5,323,464  | 6/21/94  | Elander et al. | 380   | 24       | 10/16/92    |
| <i>pwk</i>          | 5,412,717  | 5/2/95   | Fischer        | 380   | 4        | 5/15/92     |
| <i>pwk</i>          | 5,745,572  | 4/28/98  | Press          | 380   | 21       | 1/17/96     |
| <i>pwk</i>          | 5,883,956  | 3/16/99  | Le et al.      | 380   | 4        | 3/28/96     |
| <i>pwk</i>          | 5,907,620  | 5/25/99  | Klemba et al.  | 380   | 25       | 8/23/96     |
| <i>pwk</i>          | 5,933,503  | 8/3/99   | Schell et al.  | 380   | 25       | 3/15/96     |
| <i>pwk</i>          | 6,125,446  | 9/26/00  | Olarig et al.  | 713   | 200      | 8/29/97     |
| <i>pwk</i>          | 6,178,504  | 1/23/01  | Fieres et al.  | 713   | 164      | 3/12/98     |
| <i>pwk</i>          | 6,308,266  | 10/23/01 | Freeman        | 713   | 156      | 3/4/98      |
| <i>pwk</i>          | 6,389,534  | 3/14/02  | Elgamal et al. | 713   | 164      | 9/30/97     |

## FOREIGN PATENT DOCUMENTS

| EXAMINER'S INITIALS | PATENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|---------------------|------------|------|---------|-------|----------|-------------|----|
|                     |            |      |         |       |          | Yes         | No |
|                     |            |      |         |       |          |             |    |
|                     |            |      |         |       |          |             |    |
|                     |            |      |         |       |          |             |    |
|                     |            |      |         |       |          |             |    |
|                     |            |      |         |       |          |             |    |

## OTHER ART: (Including Author, Title, Date, Pertinent Pages, Etc.)

|                      |   |
|----------------------|---|
| <i>pwk</i>           | Java Cryptography Extension 1.2.1, Java Cryptography Extension 1.2.1 API Specification & Reference, downloaded 5/10/02,<br><a href="http://java.sun.com/products/jce/doc/guide/API_users_guide.html">http://java.sun.com/products/jce/doc/guide/API_users_guide.html</a> , pgs. 1-51: |
| <i>pwk</i>           | Java(TM) Cryptography Extension (JCE), Java Cryptography Extension (JCE),<br><a href="http://java.sun.com/products/jce/index.html">http://java.sun.com/products/jce/index.html</a> , downloaded 5/10/02, 5 pages.   |
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|                      |   |
| EXAMINER             | DATE CONSIDERED   |
| <i>Paula Klimach</i> | <i>13 Dec 2003</i>  |

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